



To  
University of Konstanz  
Division of Student Affairs  
- Central Examination Office -  
Universitätsstr. 10  
78464 Konstanz

receipt: \_\_\_\_\_

## Application for Commencement of the Doctoral Examination Procedure

Name: \_\_\_\_\_ First name: \_\_\_\_\_  
born on: \_\_\_\_\_ in: \_\_\_\_\_  
nationality: \_\_\_\_\_ Birth Name: \_\_\_\_\_  
Place of residence and postcode: \_\_\_\_\_ street: \_\_\_\_\_  
phone (office): \_\_\_\_\_ phone (private): \_\_\_\_\_  
fax: \_\_\_\_\_ e-mail: \_\_\_\_\_  
Student ID number: \_\_\_\_\_

Title of the thesis: \_\_\_\_\_

Targeted doctoral degree:  Doctor of Natural Sciences (Dr. rer. nat.)  
 Doctor of Social Sciences (Dr. rer. soc.)  
 Doctor of Economics (Dr. rer. pol.)  
 or Doctor of Politics and Public Administration (Dr. rer. pol.)  
 Doctor of Law (Dr. jur.)  
 Doctor of Philosophy (Dr. phil.)  
 Doctor of Engineering Sciences (Dr.-Ing.)

(mark with a cross where applicable)

Faculty: \_\_\_\_\_

Department of: \_\_\_\_\_

Supervising professor, university or private lecturer: \_\_\_\_\_

As set out in § 6 Sec. 2 of the Doctoral Regulations, the following documents have been attached to this application:

**Please take note that additional stipulations and regulations stated in section B may apply to your department.**

<b>A.</b>	<b><u>All doctoral candidates must submit the following documents:</u></b>	
1.	a)	Copy of the successful completion of a master's programme at a German institute of higher education or a study programme at a university, teacher training college or art academy with an average number of semesters of at least four years, or a study programme that followed on a basic study programme at a university, teacher training college or other institution of higher education that has the right to award doctoral degrees.
	b)	Certificate (copy) by the department confirming the acceptance as a doctoral candidate at the University of Konstanz.
2.	Curriculum vitae outlining personal and professional background and development.	
3.	Declaration on prior or current applications for doctoral examination procedures, and, if applicable, an attested certificate regarding any doctorate already awarded (see attachment to no. 3).	
4.	"Affirmation in Lieu of Oath" according to § 6 of the Doctoral Regulations of the University of Konstanz (see appendix to the application for the opening of the doctoral procedure).	
5.	Instruction on the significance and the penal consequences of the affirmation in lieu of oath (see attachment to no. 5).	
6.	Declaration on the publication of personal data in uni'kon (journal of the University of Konstanz) (see attachment to no. 6).	
7.	<p>One Copy of the thesis <b><u>(in the Department of Law generally four or more bound copies of the doctoral thesis or more)</u></b> (paper format: DIN A4) of the doctoral thesis as well as an <u>electronic copy of the thesis provided as a pdf file up to 10 MB (e-mail attachment) or as a link via the Nextcloud from 10 MB.</u></p> <p>The thesis must include:</p> <ul style="list-style-type: none"> <li>a) A title page,</li> <li>b) A table of contents,</li> <li>c) A brief summary,</li> <li>d) A German-language summary if you submit a foreign-language version, as well as</li> <li>e) A detailed bibliography of the sources and references used.</li> <li>f) If the doctoral thesis consists of multiple contiguous papers (see § 8 Sec 3), a <u>separate declaration</u> has to be handed in that clearly delineates the candidate's work for separate evaluation.</li> </ul>	
8.	<p><u>Type of doctoral thesis</u> (▼ please select)</p> <p><input type="checkbox"/> monograph      <input type="checkbox"/> publication-based/cumulative doctoral thesis</p>	
9.	Documentation that you have completed one of the Konstanz Research School's courses on the topic of "good scientific practice for doctoral research". <b>Note: This regulation applies to all doctoral researchers admitted on or after 01.04.2022.</b>	
<b>B.</b>	<b><u>Please submit the additional documents and/or information required by your department:</u></b>	
<b>B.1</b>	<b><u>Department of Mathematics and Statistics</u></b>	
	- Please provide documentation of all required coursework or examinations completed during doctoral studies.	
	- Please submit a completed and signed <u>mathematics attachment</u> , if your oral doctoral examination is to be performed as an <u>extended colloquium on the doctoral thesis and special subjects</u> according to § 14 of the doctoral regulations (see Mathematics Attachment).	
	- The Department of Mathematics and Statistics requires documentary evidence of the given talk about the essential results of your thesis. Please attach to this application.	

<b>B.2</b>	<b><u>Department of Computer and Information Science</u></b>
	<ul style="list-style-type: none"> <li>- Please provide documentation of all required coursework or examinations completed during doctoral studies.</li> <li>- The Department of Computer and Information Science requires documentary evidence of a written exposé and a talk. Please attach to this application.</li> </ul>
<b>B.3</b>	<b><u>Department of Physics</u></b>
	<ul style="list-style-type: none"> <li>- Please provide documentation of all required coursework or examinations completed during doctoral studies.</li> </ul>
<b>B.4</b>	<b><u>Department of Chemistry</u></b>
	<ul style="list-style-type: none"> <li>- Documentary evidence of participation in the course programme of the Graduate School at the Department of Chemistry.</li> <li>- The Department of Chemistry requires documentary evidence of the given talk or the publication in a scientific journal. Please attach to this application.</li> <li>- Permission to write a cumulative doctoral thesis (see Chemistry Attachment).</li> </ul>
<b>B.5</b>	<b><u>Department of Biology</u></b>
	<ul style="list-style-type: none"> <li>- Documentary evidence of participation in the course programme of the Graduate School at the Department of Biology.</li> <li>- Please provide documentation of all required coursework or examinations completed during doctoral studies.</li> </ul>
<b>B.6</b>	<b><u>Department of Psychology</u></b>
	<p>Please provide documentation of all required coursework or examinations completed during doctoral studies.</p> <ul style="list-style-type: none"> <li>- For PhD-candidates (<b>Doctor of Philosophy – Dr. phil.</b>), the oral doctoral examination may be either an <u>extended colloquium on the doctoral thesis and one hypothesis</u>, or a <u>thesis defence</u>, depending on the department. Please turn in one proposed hypothesis and an explanation according to § 13 of the doctoral regulations along with <b>one print copy</b> of the hypothesis to be discussed.</li> </ul>
<b>B.7</b>	<b><u>Department of Philosophy</u></b>
	<ul style="list-style-type: none"> <li>- Please include documentation of sufficient German language skills along with your Application for Commencement of the Doctoral Examination Procedure, if German is not your native language and you did not earn your higher education entrance qualification in Germany or a German school abroad.</li> <li>- Please provide documentation of all required coursework or examinations completed during doctoral studies.</li> <li>- If oral doctoral examinations are performed as an <u>extended colloquium on the doctoral thesis and two hypotheses</u> (see § 13 of the doctoral regulations), please submit the two proposed hypotheses and an explanation to be discussed. Please submit <b>one print copy</b> of the hypotheses to be discussed.</li> </ul>
<b>B.8</b>	<b><u>Department of History, Sociology, Sport Science and Empirical Educational Research</u></b>
	<ul style="list-style-type: none"> <li>- In case you pursue the doctoral degree of Doctor of Philosophy (Dr. phil.), please include documentation of sufficient German language skills along with your Application for Commencement of the Doctoral Examination Procedure, if German is not your native language and you did not earn your higher education entrance qualification in Germany or a German school abroad.</li> <li>- Please provide documentation of all required coursework or examinations completed during doctoral studies.</li> <li>- If oral doctoral examinations are performed as an <u>extended colloquium on the doctoral thesis and one hypothesis</u> (see § 13 of the doctoral regulations), please submit the proposed hypothesis and an explanation to be discussed. Please submit <b>one print copy</b> of the hypothesis to be discussed.</li> </ul>

<b>B.9</b>	<b><u>Department of Literature, Art and Media Studies</u></b>
	- Please include documentation of sufficient German language skills along with your Application for Commencement of the Doctoral Examination Procedure, if German is not your native language and you did not earn your higher education entrance qualification in Germany or a German school abroad.
	- Please provide documentation of all required coursework or examinations completed during doctoral studies.
	- If oral doctoral examinations are performed as an <u>extended colloquium on the doctoral thesis and one hypothesis</u> (see § 13 of the doctoral regulations), please submit the proposed hypothesis and an explanation to be discussed. Please submit <b><u>one print copy</u></b> of the hypothesis to be discussed.

<b>B.10</b>	<b><u>Department of Linguistics</u></b>
	- Please provide documentation of all required coursework or examinations completed during doctoral studies.

<b>B.11</b>	<b><u>Department of Law</u></b>
	- If German is not your native language and you did not earn your higher education entrance qualification in Germany or a German school abroad, please include documentation of sufficient German language skills with your application, if you did not already do so when you began your doctoral studies.
	- If oral doctoral examinations are performed as an <u>extended colloquium on the doctoral thesis and either one hypothesis or a special subject</u> (see § 13 and § 14 of the doctoral regulations), please submit either one proposed hypothesis and an explanation <b>or</b> the title of one special subject to be discussed (see Law Studies Attachment). Please submit <b><u>one print copy</u></b> of the hypothesis to be discussed.

<b>B.12</b>	<b><u>Department of Economics</u></b>
	- Please provide documentation of all required coursework or examinations completed during doctoral studies.
	- If oral doctoral examinations are performed as an <u>extended colloquium on the doctoral thesis and two hypotheses</u> (see §13 of the doctoral regulations), please submit the two proposed hypotheses and an explanation to be discussed. Please submit <b><u>one print copy</u></b> of the hypotheses to be discussed.
	- The following requirements only apply to doctoral candidates taking a thesis examination: Documentation of at least two presentations in either seminars or colloquia in the Department of Economics.

<b>B.13</b>	<b><u>Department of Politics and Management</u></b>
	- Please provide documentation of all required coursework or examinations completed during doctoral studies.

<b>C.</b>	<b><u>Final details</u></b> <b><u>Please complete the following information and turn in with your application:</u></b>
	The following referees and/or oral examiners have been suggested, given the right of nomination according to § 6 Sec. 2 no. 11 of the doctoral regulations: Professor / University lecturer / Private lecturer
	I am aware of the provisions of the current version of the regulations of the University of Konstanz and implementing provisions etc.
	_____ (signature of the applicant)
	Place and date _____
	<b>Note:</b> Deciding on the commencement of the Doctoral Examination Procedure is only possible if <b>all</b> documents/statements have been attached to the application.

D.	<p><b><u>Temporary research-related stays abroad that are relevant for your doctoral studies, e.g. research stays (experiments, inquiries, excursion/educational trip, conference), qualification programmes (summer school, course on methods, language course relevant for doctoral studies)</u></b>  <u>(if you have completed more than three study abroad stays, please include only the three longest stays):</u></p>
1.	<p><b>First research-related stay abroad</b></p> <p>1. Country where you stayed abroad: _____</p> <p>2. The stay abroad began on: _____  The stay abroad ended on: _____</p> <p>3. Type of mobility programme:</p> <p><input type="checkbox"/> EU programme (funded by the EU, e.g. Erasmus)</p> <p><input type="checkbox"/> Other publicly funded programme (e.g. institutional partnership, non-EU funded)</p> <p><input type="checkbox"/> Non-publicly funded programme</p> <p><input type="checkbox"/> No programme, self-organised</p>
2.	<p><b>Second research-related stay abroad</b></p> <p>1. Country where you stayed abroad: _____</p> <p>2. The stay abroad began on: _____  The stay abroad ended on: _____</p> <p>3. Type of mobility programme:</p> <p><input type="checkbox"/> EU programme (funded by the EU, e.g. Erasmus)</p> <p><input type="checkbox"/> Other publicly funded programme (e.g. institutional partnership, non-EU funded)</p> <p><input type="checkbox"/> Non-publicly funded programme</p> <p><input type="checkbox"/> No programme, self-organised</p>
3.	<p><b>Third research-related stay abroad</b></p> <p>1. Country where you stayed abroad: _____</p> <p>2. The stay abroad began on: _____  The stay abroad ended on: _____</p> <p>3. Type of mobility programme:</p> <p><input type="checkbox"/> EU programme (funded by the EU, e.g. Erasmus)</p> <p><input type="checkbox"/> Other publicly funded programme (e.g. institutional partnership, non-EU funded)</p> <p><input type="checkbox"/> Non-publicly funded programme</p> <p><input type="checkbox"/> No programme, self-organised</p>

name: \_\_\_\_\_ first name: \_\_\_\_\_ born on: \_\_\_\_\_

place of residence: \_\_\_\_\_ street: \_\_\_\_\_

**Declaration  
to no. 3 of the application**

Concerning my application for commencement of the doctoral examination procedure at the University of Konstanz of \_\_\_\_\_ (date), I declare that this is my first / \_\_\_\_\_ application for admission to the doctoral examination at a German university.

Moreover, I declare that

- I have not simultaneously applied for admission to the doctoral examination at another German or foreign university,
- that I have not yet acquired the aspired doctorate,
- that I have not ultimately failed in a previous Doctoral Examination Procedure for the aspired doctorate and
- that I have no criminal record in the federal criminal records bureau.

\_\_\_\_\_

place and date

\_\_\_\_\_

(signature)

**Affirmation in lieu of oath according to § 6 of the  
Doctoral Regulations of the University of Konstanz  
to no. 4 of the application**

**All affirmations in lieu of oath according to § 6 of the doctoral regulations can be found in the appendix of this application, sorted by departments.**

to no. 5 of the application

**Instruction on the significance and the penal consequences  
of the affirmation in lieu of oath**

**Affirmation in lieu of oath  
Legal Consequences**

The universities in Baden-Württemberg require an affirmation in lieu of oath regarding the originality of the work as a credible assurance that the doctoral candidate carried out the scientific accomplishment through his or her own efforts.

Because the legislative body attributes a special significance to the affirmation in lieu of oath, and because the affirmation can have considerable consequences, the making of a false affirmation is punishable by law. If the false affirmation is made deliberately (i.e. knowingly), the penalty may be imprisonment for up to three years or a fine.

A false affirmation made through negligence (i.e. the declarant should have known that the declaration was not in accordance with the facts), the penalty may be imprisonment for up to one year or a fine.

The relevant penal provisions are set out in § 156 (false affirmation in lieu of oath) and in § 161 (negligent false affirmation in lieu of oath) of the German Criminal Code ("StGB").

**§ 156 StGB: False Affirmation in Lieu of Oath**

Whosoever before a public authority competent to administer sworn affidavits, falsely makes such an affidavit or falsely testifies while referring to such an affidavit shall be liable to imprisonment not exceeding three years or a fine.

**§ 161 StGB: Negligent False Affirmation in Lieu of Oath:**

Sec. 1: If a person commits one of the offences listed in § 154 to § 156 negligently the penalty shall be imprisonment not exceeding one year or a fine.

Sec. 2: The offender shall be exempt from liability if he corrects his false testimony in time. The provisions of § 158 (2) and (3) shall apply accordingly.

---

place, date

---

signature

to no. 6 of the application

name:	first name:
place of residence:	street:

### **Declaration**

Hereby I agree / do not agree<sup>\*)</sup>, that upon successful completion of the doctoral examination a note is published in uni'kon (journal of the University of Konstanz) referring to my doctorate, including my first and last name and the title of the doctoral thesis.

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place, date

---

signature

\*) Delete as appropriate



**Appendix 1 to § 6 General Provisions of Doctoral Regulations  
Affirmation in Lieu of Oath**

1. The doctoral thesis on the topic

is entirely my own work.

2. I have used only the sources and aids indicated, and have not received assistance from unauthorized third parties. In particular, texts or ideas that were obtained from other works have been identified as such.

3. My work does not copy or paraphrase any text passages or other content generated by an AI tool.

If I have used text-generating AI tools as **aids**, I understand that I am solely responsible for the accuracy of the content of the AI-generated text passages and other AI-generated content, as well as for referencing other people's wording and ideas in accordance with the principles of good scientific practice. I have used AI tools as described below.

**(If necessary, please use additional insertion sheets!)**

4. The work or parts of the work have already been/have never been<sup>1</sup> submitted to an institute of higher education in Germany or abroad as part of an examination or qualification procedure.

Title of the work:

Institution of higher education; year:

Type of examination or qualifications procedure:

5. I hereby affirm that the above statements are true and correct.
6. I am aware of the significance of the affirmation in lieu of oath and the consequences under the penal code of giving an incorrect or incomplete affirmation in lieu of oath.

I hereby affirm that I have stated the truth to the best of my knowledge and have concealed nothing.

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**place and date**  
**(signature)**

<sup>1</sup> Delete as appropriate. If the work has already been submitted, complete the fields indicating the title of the submitted work, the institute of higher education, the year in which it was submitted, and the type of examination or qualification procedure